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Rule Title: Exemption for Issuers of Section 4(a)(2) Offerings

Department: [DEPARTMENT OF FINANCIAL SERVICES](#)**Division:** [Securities](#)**Chapter:** [EXEMPT TRANSACTIONS](#)[Add to MyFLRules Favorites](#)

Latest version of the final adopted rule presented in Florida Administrative Code (FAC):

[VIEW RULE](#)**Effective Date:** 9/22/2014**History Notes:** Rulemaking Authority [517.03\(1\)](#), [517.061\(19\) FS](#). Law Implemented [517.061\(19\) FS](#). History-New 1-1-02, Formerly 3E-500.016, Amended 9-30-10, 9-22-14.**References in this version:** No reference(s).**History of this Rule since Jan. 6, 2006**

Notice / Adopted	Section	Description	ID	Publish Date
	Final 69W-500.016	Exemption for Issuers of Section 4(a)(2) Offerings	15039091	Effective: 09/22/2014
	Public Meeting 69W-500.005	This is the Final Public Hearing on the adoption of proposed amendments to these rules, originally published on May 19, 2014, Vol. 40, No. 97, August 19, 2014, 9:00 a.m., during a regular meeting of the Cabinet Meeting Room, Lower Level, The Capitol, Tallahassee,	14896695	8/4/2014 Vol. 40/150
	Change 69W-500.005	Disclosure Requirements of Section 517.061(11)(a)3., F.S, Unsolicited Purchase or Sale of Securities Pursuant to Section 517.061(13), F.S, Exemption/Charitable Contributions to Pooled Income Funds, Exemption	14666126	6/10/2014 Vol. 40/112
	Change 69W-500.005	Disclosure Requirements of Section 517.061(11)(a)3., F.S, Unsolicited Purchase or Sale of Securities Pursuant to Section 517.061(13), F.S, Exemption/Charitable Contributions to Pooled Income Funds, Exemption	14644107	6/4/2014 Vol. 40/108
	Proposed 69W-500.005	The rules are proposed for amendment to update references to incorporated material. The Office of Financial Regulation proposes to consolidate all material incorporated by reference in Chapter 69W, F.A.C. into a new proposed	14547980	5/19/2014 Vol. 40/97
	Development 69W-500.005	The rules are proposed for amendment to update references to incorporated material. The Office of Financial Regulation proposes to consolidate all material incorporated by reference in Chapter 69W, F.A.C. into a new proposed	14449719	4/15/2014 Vol. 40/73
	Final 69W-500.016	Exemption for Issuers of Section 4(a)(2) Offerings	9161764	Effective: 09/30/2010
	Public Hearing 69W-500.008	This is the Final Public Hearing on the adoption of proposed amendments to the Rules 69W-500.008, 69W-500.013, 69W-500.015, 69W-500.016 and August 26, 2010, 9:00 a.m., during a regular meeting of the Cabinet Meeting Room, Lower Level, The Capitol, Tallahassee,	8990850	8/13/2010 Vol. 36/32
	Proposed 69W-500.008	Rules 69W-500.008, .015, .016, and .017, F.A.C., are amended to reflect the most current versions of federal regulations and federal statutes referenced in the rules. Rule 69W-500.013, F.A.C., is amended to correct a cross	8685785	6/18/2010 Vol. 36/24
	Development 69W-500.008	Rules 69W-500.008, .015, .016, and .017, F.A.C., are amended to reflect the most current versions of federal regulations and federal statutes referenced in the rules. Rule 69W-500.013, F.A.C., is amended to correct a cross	8069156	12/24/2009 Vol. 35/51
	Final 69W-500.016	Exemption for Issuers of Section 4(2) Offerings	2863845	Effective: 01/01/2002



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